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Date of Signature and Deposit:

Jean C. Baker, Reg. No. 35,433

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Liaoteng Wang, et al.

Serial No.:

10/665,797

04

Filed:

September 18, 2003

For:

REGULATORY POLY(A) POLYMERASES AND USES

THEREOF

Group Art Unit:

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Examiner:

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Commissioner For Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. 1.98, enclosed herewith is a list of documents which the Applicants in the above-identified patent application wish to bring to the attention of the Examiner for consideration in connection with the examination on the merits of this patent application.

U.S. Patents

5,985,575; Wickens, et al.; November 16, 1999.

6,303,311; Wickens, et al.; October 16, 2001.

Other Documents

W. Keller and G. Martin, "Reviving the Message," Nature 419:267-268, 2002.

R.L. Read, et al., "Cytoplasmic Poly(A) Polymerases Mediate Cellular Responses to S Phase Arrest," PNAS 99(19):12079-12084, 2002.

- S. Saitoh, et al., "Cid13 is a Cytoplasmic Poly(A) Polymerase that Regulates Ribonucleotide Reductase mRNA," Cell 109:563-573, 2002.
- L. Wang, et al., "A Regulatory Cytoplasmic Poly(A) Polymerase in Caenorhabditis elegans," Nature 419:312-316, 2002.

No fees are believed necessary to enter this statement. However, if any fees are necessary please charge Deposit Account 17-0055.

Respectfully submitted,

Laioteng Wang, et al.

February \mathcal{L} , 2004

Jean C. Baker

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Substitute for form 1449/PTO

Application Number 10/665,797

Filing Date September 18, 2003

First Named Inventor Lineaton West.

STATEMENT BY APPLICANT
(Use as many sheets as necessary)

Filing Date September 18, 2003

First Named Inventor Liaoteng Wang

Art Unit

Examiner Name

Attorney Docket Number 960296.99314 Sheet of U. S. PATENT DOCUMENTS Name of Patentee or Pages, Columns, Lines, Where Relevant Passages or Relevant Examiner Document Number **Publication Date** MM-DD-YYYY **Applicant of Cited Document** Figures Appear Number-Kind Code² (# known) ^{US-} 5,985,<u>5</u>75 11/16/99 Wickens, et al. ^{US-} 6,303,311 10/16/01 Wickens, et al. US-US-US-US-US-US.

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		

Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO	Complete if Known		
Substitute for form 144-9/110	Application Number	10/665,797	
INFORMATION DISCLOSURE	Filing Date	September 18, 2003	
STATEMENT BY APPLICANT	First Named Inventor	Liaoteng Wang	
	Art Unit		
(Use as many sheets as necessary)	Examiner Name		
Sheet 2 of 2	Attorney Docket Number	960296.99314	

Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	_2
Initials*	No.¹	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		W. Keller and G. Martin, "Reviving the Message," Nature 419:267-268, 2002.	
		R.L. Read, et al., "Cytoplasmic Poly(A) Polymerases Mediate Cellular Responses to S Phase Arrest," PNAS 99(19):12079-12084, 2002.	
		S. Saitoh, et al., "Cid13 is a Cytoplasmic Poly(A) Polymerase that Regulates Ribonucleotide Reductase mRNA," Cell 109:563-573, 2002.	
		L. Wang, et al., "A Regulatory Cytoplasmic Poly(A) Polymerase in Caenorhabditis elegans," Nature 419:312-316, 2002.	
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Examiner	Da	ate	
Signature	Co	onsidered	

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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